

PATENT  
674525-2010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : Bodmer *et al.*  
U.S. Serial No. : 10/765,727  
Filing Date : January 23, 2004  
For : MODULATORS OF NOTCH SIGNALLING FOR  
USE IN IMMUNOTHERAPY  
Examiner : To Be Assigned  
Art Unit : 1644

745 Fifth Avenue  
New York, NY 10151

EXPRESS MAIL

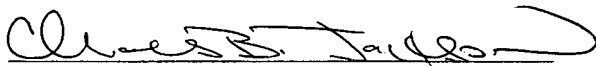
Mailing Label Number: EV 206807056 US

Date of Deposit: September 28, 2004

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Charles B. Jackson

(Typed or printed name of person mailing paper or fee)

  
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COMMUNICATION

Mail Stop Missing Parts  
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P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This is in response to the "Notice To File Missing Parts of Nonprovisional Application", mailed on May 4, 2004, and setting a two-month period for reply. A Petition for Extension of Time accompanies this Communication.

Enclosed are the following items, which were requested in the Notice:


- an executed declaration;
- a late filing fee surcharge of \$130.00;
- replacement Figures 37 and 38;
- paper and computer readable forms of the Sequence Listing; and
- statements required under 37 C.F.R. 1.821 and 1.825.

Also enclosed are (1) an Amendment inserting sequence identifiers into the specification and (2) a marked up copy of the filing receipt, showing corrections requested. In particular, Dr. Briend's middle name should be --Pascal--, not "Pascale" and Dr. Young's middle name should be --Lynn--, not "Lyn".

This application is now believed to be in compliance with all requirements set forth in the Notice, and reconsideration and withdrawal of the Notice are requested. Please charge any fees required or credit any overpayment in fees, to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/765,727	01/23/2004	Mark William Bodmer	674525-2010

20999  
 FROMMER LAWRENCE & HAUG  
 745 FIFTH AVENUE- 10TH FL.  
 NEW YORK, NY 10151

CONFIRMATION NO. 2653

## FORMALITIES LETTER



\*OC000000012526689\*

Date Mailed: 05/04/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/30/2004 MMEKONEN 00000050 10765727

FILED UNDER 37 CFR 1.53(b)

01 FC:1051

130.00 OP

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 37, 38.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant

desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)**

**SUMMARY OF FEES DUE:**

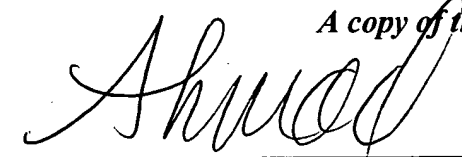
Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to:    Mail Stop Missing Parts  
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   P.O. Box 1450  
   Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**